

11/14/01
JC960 U.S. PTO11/27/01
A

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	1002 CIP II
First Inventor	George Likourezos
Title	System and Method For Effecting A Real-Time ...
Express Mail Label No.	ET 386256865 US

11/14/01
JC960/993818 PRO**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 42]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 9]
5. Oath or Declaration [Total Pages 6]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No: 09 / 946,616

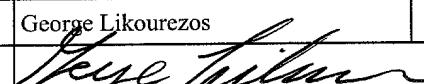
Prior application information.

Examiner _____

Group Art Unit: 1756

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

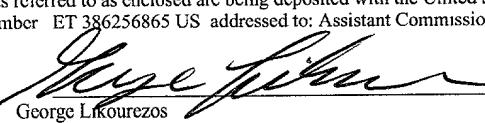
19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)			or	<input type="checkbox"/> Correspondence address below
Name					
Address	George Likourezos 9321 Ridge Boulevard				
City	Brooklyn	State	New York	Zip Code	I1209
Country	U.S.A	Telephone	(718) 680-3565	Fax	
Name (Print/Type)	George Likourezos	Registration No. (Attorney/Agent)	40,067		
Signature				Date	11/14/01

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number ET 386256865 US addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Dated: November 14, 2001


George Likourezos

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 406.00)

Complete if Known

Application Number	
Filing Date	November 14, 2001
First Named Inventor	George Likourezos
Examiner Name	
Group Art Unit	
Attorney Docket No.	1002 CIP II

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	
Deposit Account Name	

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION1. **BASIC FILING FEE**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	740	201 370 Utility filing fee	\$370.00
106	330	206 165 Design filing fee	
107	510	207 255 Plant filing fee	
108	740	208 370 Reissue filing fee	
114	160	214 80 Provisional filing fee	

SUBTOTAL (1) (\$ 370.00)

2. **EXTRA CLAIM FEES**

	Extra Claims	Fee from below	Fee Paid
Total Claims	24	-20** = 4 X \$9 = \$36	
Independent Claims	3	-3** = 0 X \$84 = 0	
Multiple Dependent		\$280 = 0	

**or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	84	202 42 Independent claims in excess of 3
104	280	204 140 Multiple dependent claim, if not paid
109	84	209 42 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 36.00)

3. ADDITIONAL FEES	Fee Description	Fee Paid
105	130 205 65 Surcharge - late filing fee or oath	
127	50 227 25 Surcharge - late provisional filing fee or cover sheet	
139	130 139 130 Non-English specification	
147	2,520 147 2,520 For filing a request for ex parte reexamination	
112	920* 112 920* Requesting publication of SIR prior to Examiner action	
113	1,840* 113 1,840* Requesting publication of SIR after Examiner action	
115	110 215 55 Extension for reply within first month	
116	400 216 200 Extension for reply within second month	
117	920 217 460 Extension for reply within third month	
118	1,440 218 720 Extension for reply within fourth month	
128	1,960 228 980 Extension for reply within fifth month	
119	320 219 160 Notice of Appeal	
120	320 220 160 Filing a brief in support of an appeal	
121	280 221 140 Request for oral hearing	
138	1,510 138 1,510 Petition to institute a public use proceeding	
140	110 240 55 Petition to revive - unavoidable	
141	1,280 241 640 Petition to revive - unintentional	
142	1,280 242 640 Utility issue fee (or reissue)	
143	460 243 230 Design issue fee	
144	620 244 310 Plant issue fee	
122	130 122 130 Petitions to the Commissioner	
123	50 123 50 Processing fee under 37 CFR 1.17(q)	
126	180 126 180 Submission of Information Disclosure Stmt	
581	40 581 40 Recording each patent assignment per property (times number of properties)	
146	740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740 279 370 Request for Continued Examination (RCE)	
169	900 169 900 Request for expedited examination of a design application	

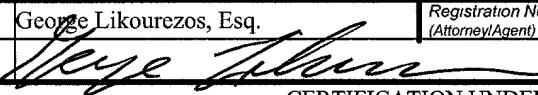
Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

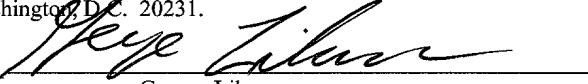
Complete (if applicable)

Name (Print/Type)	George Likourezos, Esq.	Registration No. (Attorney/Agent)	40,067	Telephone	(718) 680-3565
Signature				Date	November 14, 2001

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number ET 386256865 US addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Dated: November 14, 2001


George Likourezos

PATENT

Atty. Docket No. **1002 CIP II**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

UTILITY APPLICATION DATA SHEET UNDER 37 C.F.R. §1.76

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): **GEORGE LIKOUREZOS and MICHAEL A. SCATURRO**

For: **SYSTEM AND METHOD FOR EFFECTING A REAL-TIME PAYMENT FOR AN ITEM WON ON AN ELECTRONIC AUCTION**

Benefit of Earlier Application: This application is a continuation-in-part application of an application filed on September 5, 2001 and assigned U.S. Application Serial No. 09/946,616 which is a continuation-in-part application of an application filed on January 17, 2001 and assigned U.S. Application Serial No. 09/764,618.

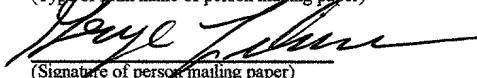
Enclosed are:

- [X] 36 page(s) of specification
- [X] 1 page(s) of Abstract
- [X] 5 page(s) of claims
- [X] 9 sheets of drawings [X] formal [] informal
- [X] 6 page(s) of Declaration and Power of Attorney

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date November 14, 2001 in an envelope as "Express Mail Post Office to Addressee" Mail Label Number ET386256865 US addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

George Likourezos
(Type or print name of person mailing paper)


(Signature of person mailing paper)

This application claims the benefit under 35 U.S.C.
§119(e) of U.S. Provisional Application(s) No(s).:

APPLICATION NO(S).:

FILING DATE

/ _____

/ _____

Certified copy of applications

Country Appln. No. Filed

from which priority under Title 35 United States Code, § 119

is claimed

is enclosed.

will follow.

CALCULATION OF UTILITY APPLICATION FEE

For	Number Filed	Extra	Rate	Basic Fee
				\$ 740.00
Total				
Claims*	24	-20 =	4	x \$ 18.00 \$ 72.00
Independent				
Claims	3	-3 =	0	x \$ 84.00 \$ 0.00
Multiple	[] yes	Add'l. Fee	\$ 270.00	\$
Dependent				
Claims	[] no	Add'l. Fee	None	= \$

TOTAL \$ 812.00

Verified Statement of "Small Entity" Status Under 37 C.F.R. § 1.27. Reduced fees under 37 C.F.R. § 1.9(f) (50% of total) paid herewith \$ 406.00.

*Includes all independent and single dependent claims and all claims referred to in multiple claims. See 37 C.F.R. § 1.75(c).

- The amount of \$40.00 for recording the attached Assignment is enclosed as a separate check.
- A check in the amount of \$ 406.00 to cover the filing fee(s) is attached.

Date: November 14, 2001



George Likourezos
Reg. No. 40,067
Applicant and Attorney for Applicants

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